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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/690,169

10/21/2003

R. Kent Hermesmeyer

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EXAMINER

RAMACHANDRAN, UMAMAHESWARI

ART UNIT

PAPER NUMBER

1617

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DELIVERY MODE

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/690,169	<b>Applicant(s)</b> HERMSMEYER, R. KENT	
	<b>Examiner</b> UMAMAHESWARI RAMACHANDRAN	<b>Art Unit</b> 1617	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 14 April 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) 17-23 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-16 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

Claim 1 has been amended and claims 17-23 are withdrawn from consideration. Claims 1-16 are currently pending and are being examined on the merits herein.

### **Response to Remarks**

The rejection of claim 1 under U.S.C 112(2) is withdrawn due to the amendment of claim 1. Applicants' arguments regarding the rejections of claims 1-16 under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement and rejection of claims 1-16 are rejected under 35 U.S.C. 112, first paragraph (enablement) have been fully considered and found not to be persuasive. Applicants' amendments necessitated the modified rejections presented in this office action. Accordingly, the action is made Final.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-16 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter, which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Claim 1 is directed to a method for reducing the incidence or severity of vascular hyperreactivity in a patient in need thereof comprising administering to the patient an effective amount of a selective estrogen beta

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receptor agonist that has a higher relative selectivity than does genistein for estrogen receptor beta compared to estrogen receptor alpha. The specification of the instant application (page 5, last 5 lines of the specification) teach the compounds (derivative forms of 3 $\beta$ Adiol) pertain particularly to estrogen receptor beta agonists that are selective over estrogen receptor alpha. Example 8 and Figure 3 teaches the estrogen beta receptor activity of several compounds including tamoxifen, 17- $\beta$  estradiol, estriol, 3 $\beta$ Adiol and epiestriol. Example 8 indicates that epiestriol and 3 $\beta$ Adiol are selective for estrogen beta over estrogen alpha receptors and have beta receptor activity similar to that of estriol and estradiol. The specification provides support that the compounds epiestriol and 3 $\beta$ Adiol have selectivity towards estrogen beta receptor compared to estrogen alpha receptor. The specification has data for persistent protection of VMC in vitro by estriol (example 7) but the specification does not provide support that all estrogen beta receptor agonist that has a higher relative selectivity than does genistein for estrogen beta receptor compared to estrogen alpha receptor has been administered to a patient in a method of reducing the incidence or severity of vascular hyperreactivity. The specification does not provide support that all the estrogen beta receptor agonist that has a higher relative selectivity than that of genistein for estrogen receptor beta compared to estrogen receptor alpha is useful in a method of reducing the incidence or severity of vascular hyperreactivity in a patient.

***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or

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with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-16 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for estriol (examples 3 and 4) in a method of treating vasospasm and effect of estriol on diameter of coronary arteries does not reasonably provide enablement of reducing the incidence or severity of vascular hyperreactivity in a patient in need thereof comprising administering to the patient an effective amount of a selective estrogen beta receptor agonist that has a higher relative selectivity than that of genistein for estrogen receptor beta compared to estrogen receptor alpha. The specification teach comparison of effects of estriol with 3 $\beta$ Adiol and epiestriol in vitro on Ca<sup>2+</sup> responses in rhesus coronary VMC (example 9) and comparison of different estrogen beta receptor agonists (genistein, DPN). The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to the invention commensurate in scope with these claims. The instant specification fails to provide information that would allow the skilled artisan to practice the instant invention without undue experimentation. Attention is directed to In re Wands, 8 USPQ2d 1400 (CAFC 1988) at 1404 where the court set forth the eight factors to consider when assessing if a disclosure would have required undue experimentation. Citing Ex parte Forman, 230 USPQ 546 (BdApls 1986) at 547 the court recited eight factors:

(1) the nature of the invention; (2) the state of the prior art; (3) the relative skill of those in the art; (4) the predictability or unpredictability of the art; (5) the breadth of the claims;

(6) the amount of direction or guidance presented; (7) the presence or absence of working examples; and (8) the quantity of experimentation necessary.

**(1) The nature of the Invention:**

The rejected claim is drawn to a method of reducing the incidence or severity of vascular hyperreactivity in a patient in need thereof comprising administering to the patient an effective amount of a selective estrogen beta receptor agonist that has a higher relative selectivity than that of genistein for estrogen receptor beta compared to estrogen receptor alpha.

**(2) Breadth of the claims:**

Claims 1- 16 are broad as they are drawn to a method of reducing the incidence or severity of vascular hyperreactivity in a patient in need thereof comprising administering to the patient an effective amount of a selective estrogen beta receptor agonist that has a higher relative selectivity than that of genistein for estrogen receptor beta compared to estrogen receptor alpha. The complex nature of the subject matter of this invention is greatly exacerbated by the breadth of the claims.

**(3) Guidance of the Specification:**

The guidance given by the specification to a method of reducing the incidence or severity of vascular hyperreactivity in a patient is 1) estriol in a method of treating vasospasm and effect of estriol on diameter of coronary arteries (examples 3 and 4) 2) comparison of effects of estriol with  $3\beta$ Adiol and epiestriol in vitro on  $Ca^{2+}$  responses in rhesus coronary VMC (example 9) and comparison of different estrogen beta receptor agonists (genistein, DPN) 3) Measurement of estrogen receptor beta activity.

**(4) Working Examples:**

The specification provides example to a method of treating vasospasm by administration of the drug epiestriol and its effect on diameter of coronary arteries. The prior art teaches genistein and estradiol in a method of treating vasospasm and reducing the incidence or severity of vascular hyperreactivity in a patient.

**(5) The relative skill of those in the art:**

The relative skill of those in the medical treatment art is high, requiring advanced education and training.

**(6) The predictability of art:**

Claims 1- 16 are broad as they are drawn to a method of reducing the incidence or severity of vascular hyperreactivity in a patient in need thereof comprising administering to the patient an effective amount of a selective estrogen beta receptor agonist that has a higher relative selectivity than that of genistein for estrogen receptor beta compared to estrogen receptor alpha. The claims are so broad and there is a high degree of unpredictability involved. Despite the advanced training in the medical treatment arts, the arts are highly unpredictable.

**(7) The Quantity of Experimentation Necessary:**

In order to practice the above claimed invention, one of ordinary skill in the art would have to first envision formulation, dosage, duration, route and, in the case of human treatment, an appropriate animal model system to test all the compounds for their selectivity towards estrogen receptors and then whether they have higher selectivity than does genistein for estrogen receptor beta compared to estrogen

receptor alpha. Then the compounds need to be tested for their usefulness in a method of reducing the incidence or severity of vascular hyperreactivity in a patient in need thereof comprising administering to the patient an effective amount of the drug. If unsuccessful, one of ordinary skill in the art would have to envision a modification in the formulation, dosage, duration, route of administration etc. and appropriate animal model system, or envision an entirely new combination of the above and test the system again. The specification enables the treatment of vasospasm with estriol and shows comparison of estrogen receptor activities of few compounds namely, estriol, 3 $\beta$ Adiol, DPN, genistein and epiestriol. Claim 1 compass a huge number of selective estrogen receptor beta agonists other than the compounds listed in the specification and therefore, it would require undue, unpredictable experimentation to practice the claimed invention of comprising administering every single selective estrogen beta receptor agonist that has higher selectivity than does genistein for estrogen receptor beta compared to estrogen receptor alpha.. Genetech, 108 F.3d at 1366 states that “a patent is not a hunting license. It is not a reward for search, but compensation for its successful conclusion” and “patent protection is granted in return for an enabling disclosure of an invention, not for vague intimations of general ideas that may or may not be workable”.

### ***Response to Arguments***

Applicant's arguments with respect to the rejections of the claims have been considered but are moot in view of the modified rejections necessitated by Applicants' amendments.



***Conclusion***

No claims are allowed.

Applicant's amendment of claims necessitated the modified rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Umamaheswari Ramachandran whose telephone number is 571-272-9926. The examiner can normally be reached on M-F 8:30 AM - 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sreeni Padmanabhan can be reached on 571-272-0629. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/SREENI PADMANABHAN/

Supervisory Patent Examiner, Art Unit 1617